

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/046,497		
		Filing Date	October 26, 2001		
		First Named Inventor	Ping, et al.		
		Art Unit	2814		
		Examiner Name	Le, Thao X.		
Sheet	2	of	2	Attorney Docket Number	MTI-31041-A

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		X-Ray Diffraction Characterization of Multilayer Epitaxial thin Films Deposited on (0001) Sapphire, THOMAS N. BLANTON & LIANG-SUN HUNG, The Rigaku Journal, Vol. 13/1996	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

09-10-03

PATENT

Attorney Docket No. MTI-31041-A

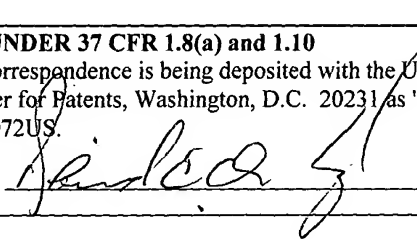
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Ping, et al.  
Serial No. : 10/046,497  
Filing Date : October 26, 2001  
For : Method For Forming Raised Structures by Controlled Selective  
Epitaxial Growth of Facet Using Spacer  
Group Art Unit : 2814  
Examiner : LE, Thao X.  
Confirmation No. : 8624

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 as "Express Mail Post Office to Addressee" Mailing Label No. EV 326236072US.

Date: Sept 10, 2003



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Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, Applicant submits the information listed on the enclosed Form 1449 for consideration in the above-identified application. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103. A copy of these documents is enclosed.

This Supplemental Information Disclosure Statement is being filed as part of a Request for Continued Examination (RCE). Accordingly, no fee is due for consideration of the listed documents. However, please charge any fee that is necessary to Account No. 23-2053.

Return of the enclosed Form 1449/PTO in the next communication to Applicant, showing the citations as initialed and considered, is respectfully requested.

Respectfully submitted,



Kristine M. Strodthoff, Reg. No. 34,259

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Dated: September 10, 2003

Customer No. 31870

MKE/874072.1

Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

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Filing Date	October 26, 2001
First Named Inventor	Ping, et al.
Art Unit	2814
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Sheet 1 of 2

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Examiner Signature	Date Considered
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